



## GIS Annual Exemption Process

### GIS Exemption Process:

---

#### **GIS Load Asset Annual Exemption Renewal or Request:**

Each year prior to January 1st, current GIS exempt Load Assets must renew their exempt status by providing an exempt certification to the Budget & Finance Subcommittee ("the Subcommittee"). This process is also open to existing GIS Load Assets that wish to request GIS exemption for the upcoming year by providing a Certification or Opinion Letter to the Subcommittee. "To demonstrate that any Load Asset owned by it is not a GIS Load Asset, on an annual basis and whenever the Subcommittee or the System operator deems necessary, a Participant shall provide the Subcommittee, either a certification by an officer of that Participant (a "Certification") or an opinion of counsel to that Participant (an "Opinion") explaining the specific reason or reasons why such Load Asset is not a GIS Load Asset", as stated in the document, "Allocation of Costs related to GIS" located on ISO-NE Website at the at the following link: <http://www.iso-ne.com/stlmnts/gis/index.html>

#### **Approval or Denial of GIS Exemption Renewal or Request:**

The Subcommittee may request additional information before making a final decision, however all Load Assets approved for GIS exempt status will be posted on the ISO-NE website at the following link: <http://www.iso-ne.com/stlmnts/gis/index.html>. The workbook "GIS Load Asset Listing" has two worksheets one which lists the exempt load assets and the other lists all GIS Load Assets that will be charged for GIS Costs.

#### **Late Submittals for GIS Load Asset Exemption Renewals or Requests:**

A late submittal will be processed; however it will only be prospective and not retroactive. The process will be the same as outlined in this document and the Load Asset will remain as a GIS Load Asset until Settlements receives approval from the Subcommittee. The Load Asset will return back to a GIS Load Asset at the beginning of the following year unless the exemption status is renewed during the GIS Load Asset Annual Exemption Process.

#### **Questions:**

If you have further questions about the GIS Load Asset Annual Exemption Process, please contact ISO New England Customer Support at 413-540-4220 or via email at [custserv@iso-ne.com](mailto:custserv@iso-ne.com).

### GIS Exemption Letter:

---

#### **Certification or Opinion Letter:**

Certification or Opinions should be sent to ISO-NE in the form of a letter, on company letterhead and signed by an officer of the Participant or counsel to the Participant. The letter should be addressed to the Subcommittee and contains an explanation of the specific reason or reasons why such Load Asset is not a GIS Load Asset. Conclusory statements that a Load Asset is not a GIS Load Asset without an adequate explanation shall not satisfy the requirements.

## **Submittal of Certification or Opinion Letter with GIS Load Asset Exemption Submittal Form:**

### Annual Due Date:

The submittal deadline for annual renewals is the first Monday after November 10<sup>th</sup>. Certification or Opinion should be sent to ISO-NE in the form of a letter, on company letterhead and signed by an officer of the Participant or counsel. The GIS Load Asset Exemption Submittal Form should also be included with the Certification or Opinion Letter.

### Forward to:

ISO-NE's Market Analysis & Settlements group will collect GIS Load Asset Annual Exemption Certification or Opinion letters along with any GIS Load Asset Exemption Submittal Forms on behalf of the Subcommittee. Certifications or Opinions can be sent via one of the following formats:

- E-mail\* – in pdf format, to [gis@iso-ne.com](mailto:gis@iso-ne.com) with subject line of “20XX GIS Exemption Renewal for Asset ID ##### - Name”.
- Fax – to 413-535-4083, Attn: Monthly Coordinator – Market Analysis & Settlements
- US Mail – to ISO New England, Inc, Attn: Monthly Coordinator – Market Analysis & Settlements, One Sullivan Road, Holyoke, MA 01040-2841

\*Preferred method is e-mailing electronic documents to [gis@iso-ne.com](mailto:gis@iso-ne.com)

## **GIS Exemption Form:**

---

### **GIS Load Asset Exemption Submission Form:**

The GIS Load Asset Exemption Submittal Form, Table 1, has been created to assist NEPOOL Participants in requesting GIS exemption or renew their exemption status. All Participants who own NEPOOL Load Assets and are requesting or renewing GIS exemption status are required to complete this form annually and attach it to the Certification or Opinion Letter signed by an officer of that Participant or counsel to the Participant. The Load Asset data provided will assist the ISO in the approval process of exempting the requested Load Asset from GIS cost charge allocation. All GIS Load Assets will contribute to Participant's positions in the charge allocation of total GIS costs unless their exemption status is renewed.

Please Note: All ISO New England registered Load Assets will be considered GIS Loads until the GIS Exemption process has been completed.

The form has six fields of required information:

Asset ID: The standard ISO New England registered Load Asset ID.

Asset Name: The standard ISO New England registered Load Asset name.

State: The State in which the Load Asset physically resides.

Owner ID: The standard ISO New England registered Lead Load Asset Owner (LLAO) ID

Owner Name: The standard ISO New England registered Lead Load Asset Owner (LLAO) Name. If the LLAO is not the current owner but will be at the beginning of the exempt year, please note the effective date of ownership.

Reason: Provide a reason why the load asset is not a GIS Load Asset or note that the reason is included in the Certification or Opinion Letter. *Conclusory statements that a Load Asset is not a GIS Load Asset without an adequate explanation shall not satisfy the requirements.*

The Exemption Form, in MS Word format, Table 1, is located at the end of this document. An Excel version is located at the following link: <http://www.iso-ne.com/stlmnts/gis/index.html>

## **GIS Resources:**

---

### **GIS Operating Rules**

GIS Operation Rules are located at the following link:

[http://www.nepool.com/committees/comm\\_wkgrps/mrks\\_comm/geninfo\\_sys/operating/index.html](http://www.nepool.com/committees/comm_wkgrps/mrks_comm/geninfo_sys/operating/index.html)

### **NEPOOL GIS Homepage:**

<http://www.nepoolgis.com/>

### **GIS Account Holders**

To identify a GIS Account Holder or a contact person by company, select the following link:

<https://www.nepoolgis.com/myModule/rpt/myrpt.asp?r=1&t=LSE%20Account%20Holder%20Type&TabName=LSE>

### **GIS - Settlements**

Access the GIS list of billable and non-billable GIS Load Assets, “Allocation of Costs Related to GIS” document, and GIS Load Asset Submittal Form:

<http://www.nepool.com/stlmnts/gis/index.html>

### **GIS Load Asset Exemption Submittal Form**

Same form as shown in Table 1, but in Excel format.

<http://www.iso-ne.com/stlmnts/gis/index.html>

### **NEPOOL Generation Information System (GIS) Operating Rules Working Group**

Stakeholders working group advises the Markets Committee on possible revisions to the Operating Rules for the GIS accounting system to ensure continued effectiveness.

[http://www.nepool.com/committees/comm\\_wkgrps/mrks\\_comm/geninfo\\_sys/index.html](http://www.nepool.com/committees/comm_wkgrps/mrks_comm/geninfo_sys/index.html)

### **Attribute Laws**

Attribute Laws exist for each state in New England and each state has their own exemption requirements. Definition of Attribute Laws can be found in document “Allocation of Costs Related to Generation Information System” located at the following link:

<http://www.nepool.com/stlmnts/gis/index.html>

### **GIS Costs**

GIS Costs MIS Reports are distributed each month. Additional information on GIS Costs can be found at:

[http://www.iso-ne.com/mkts\\_billing/mkt\\_descriptions/line\\_items/gis\\_costs.html](http://www.iso-ne.com/mkts_billing/mkt_descriptions/line_items/gis_costs.html)

Table 1-  
**GIS Load Asset Exemption Submittal Form**

(Attach to Certification or Opinion Letter)

GIS Load Asset Exemption Submittal Form					
<b>Submittal Date:</b>					
<b>Submitted By:</b>					
<b>Contact – Phone No.:</b>					
<b>Contact – Email Address:</b>					
Asset ID	Load Asset Name	State	LLAO ID	Lead Load Asset Owner (LLAO) Name Eff (mm/dd/yy) <sup>1</sup>	Reason <sup>2</sup> .

<sup>1</sup> If the submitter is not the current LLAO but foresees it will be in the near future, please insert estimated effective date of becoming the LLAO.

<sup>2</sup> Conclusory statements that a Load Asset is not a GIS Load Asset without an adequate explanation shall not satisfy the requirements.